

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner of Patents, Washington, DC 20231.

Date

Stephanie Jansen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Vishnu K. Agarwal Attorney Docket No.: 500431.04  
Serial No. : 09/910,661 Group Art Unit : 1763  
Filed : July 20, 2001 Examiner : George Goudreau  
Title : METHOD AND APPARATUS FOR ENDPOINTING A CHEMICAL-MECHANICAL  
PLANARIZATION PROCESS

## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the reference set forth on the attached form PTO-1449 (a copy of the cited reference, as required under 37 C.F.R. § 1.98, is enclosed). Although the aforesaid reference is made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.



Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited reference of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

A handwritten signature in black ink that appears to read "Mark W. Roberts".

Mark W. Roberts, Ph.D.  
Registration No. 46,160

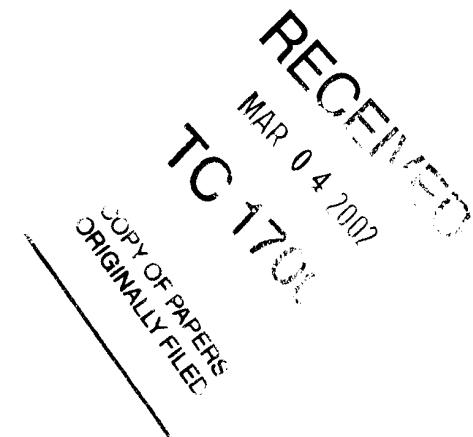
MWR:sj

Enclosures:

- Postcard
- Fee Transmittal Sheet (+ copy)
- Form PTO-1449
- Cited Reference (1)

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FEB 26 2002  
PATENT & TRADEMARK OFFICE

**FEET TRANSMITTAL SHEET  
(FOR FY 2001)**

(JULY 1763)

**Complete if Known**

Application No.	09/910,661
Filing Date	July 20, 2001
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	1763
Examiner	George Goudreau
Atty. Docket Number	500431.04

**METHOD OF PAYMENT (Check One)**

1.  The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2.  Check Enclosed

**FEET CALCULATION**

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	740	201	370	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
<b>Subtotal (1)</b>				<b>\$ 0</b>

**2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total	5	-	20	= 0 x \$ 18 = \$ 0
Ind.	1	-	3	= 0 x \$ 84 = \$ 0
IMultiple Dependent Claims			x \$	= \$
				<b>Subtotal (2)</b> <b>\$ 0</b>

**Large Entity**      **Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**3. ADDITIONAL FEES**

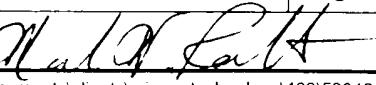
Large Entity	Small Entity	Fee	Fee Description	Fee paid
Code	Code	Code	(\$)	
105	130	205	65	Surcharge - Late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For Filing a Request for Reexamination
195	300	196	300	Publication (early or Republication)
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within 2 <sup>nd</sup> month
117	920	217	460	Extension for reply within 3 <sup>rd</sup> month
118	1,440	218	720	Extension for reply within 4 <sup>th</sup> month
128	1,960	280	980	Extension for reply within 5 <sup>th</sup> month
120	320	220	160	Filing a brief in support of an appeal
121	280	270	140	Request for oral hearing
148	110	248	55	Terminal Disclaimer Fee
140	110	240	55	Petition to revive – unavoidable
141	1,280	241	640	Petition to revive – unintentional
142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)
143	460	243	230	Design issue fee (+ advance copies)
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of IDS
581	40	81	40	Recording each patent assignment per property (times number of properties)
179	740	229	370	Request for Continued Examination
Other fee (specify)				
				<b>Subtotal (3)</b> <b>\$ 0</b>
				<b>Total Amount of Payment:</b> <b>\$ 0</b>

Submitted by:

Name: Mark W. Roberts, Ph.D.

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Signature: 

Date: 1-18-02

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U.S. PATENT AND TRADEMARK OFFICE  
RECEIPT OF PAPER  
ORIGINALLY FILED  
MARCH 04 2002  
TC 1704